



AMERICAN SECURITIES



# Giving Back 2020

*Our Values in Action*



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# Our Mission

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Generate superior returns by making investments in great businesses and helping management better them.

# Our Values

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We maintain the highest personal and corporate ethics through **honesty** and fair dealing.

We are dedicated to a true **partnership** with our management teams, our colleagues, our investors, and all others who help us achieve our mission.

We aim to be **respectful** in all of our interactions.

Our focus is always on the **long-term** best interests of the companies and investors we serve.

We are committed to fostering an inclusive environment that promotes and values **diversity**.

We seek to continuously build **human capital**.

Our success is grounded in **hard work** and rigorous analysis.

We enjoy our work and the **relationships** that come from it.

We hope to make the world a better place by **giving back** to the communities in which we live and work.



# CEO Message

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Our Values are a credo by which we express the type of Firm and people we hope to be. Each individual value is important and expressed in many different ways. This report profiles how our colleagues and the companies with which we partner demonstrate our Giving Back value: *“We hope to make the world a better place by giving back to the communities in which we live and work.”*

COVID-19 had a profound impact on the global community in 2020. While Partnership, Relationships, and Hard Work are Values we seek to embody in our day-to-day efforts, and all were critical as we managed through the early days of the pandemic, they also enabled our colleagues and our portfolio companies to contribute to the universal effort to overcome this pandemic’s challenge.

The examples contained herein of individual and Firm contributions to the fight against COVID-19 highlight only a small portion of the volunteer commitments and philanthropic activities that the greater American Securities family undertakes in an effort to make the world a better place. The companies in which American Securities’ funds have had the privilege to invest also live this value through their numerous undertakings.

Respect for Diversity, Equity, and Inclusion (DEI) has long underpinned our Giving Back value. The original investors in American Securities were the heirs of Julius Rosenwald, who was the primary owner and President of Sears Roebuck in the early 1900s. Julius was an early proponent of “giving while you live” and dedicated the majority of his fortune to charity. He firmly believed that the racial divide in the United States could not be mended unless those with privilege worked in partnership with marginalized communities to further their progress. Since 2018, we have worked alongside DEI consultants to implement internal trainings, revamp external recruiting and internal review processes, and partner with organizations like SEO, Toigo, and Out Leadership, among other initiatives. We continue to develop actionable DEI goals at the beginning of each year and

believe strongly that these efforts are maintained and sustained only through continuous focus and development. In turn, we formalized Diversity as our ninth value in June: *“We are committed to fostering an inclusive environment that promotes and values diversity.”*

In the spirit of helping others, we elected to make our annual holiday contribution to the West Side Campaign Against Hunger (WSCAH), which alleviates hunger by ensuring that all New Yorkers have access with dignity to a choice of healthy food and supportive systems. WSCAH has been at the forefront of innovation for 40 years, developing the first customer-choice supermarket-style pantry in the United States in 1993, which became the model for other programs across the country. Due to the effects of the COVID-19 pandemic, more than 50 million people may have experienced food insecurity in the United States in 2020, including a potential 17 million children. Since 2017, American Securities colleagues have volunteered and connected further with WSCAH through annual Giving Back Days in New York. We are proud to contribute to this long-time partner addressing a critical need within our local community.

While COVID-19 precluded our annual Giving Back Days in the United States

in 2020, our Shanghai colleagues were able to maintain the tradition while our colleagues in the United States found other ways to contribute their time to valuable causes.

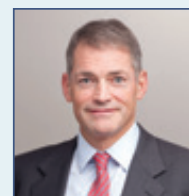
Since 2017, American Securities has supported a grassroots organization providing educational and medical services to Syrian refugee children in Lebanon. Included in this book is a profile of the fourth project that we funded in partnership with Refugee Protection International (RPI) and Multi Aid Programs (MAPs) to support the education of Syrian refugees in Lebanon.

This year has been challenging and unique, but individual and collective actions to help the global community have been inspiring. I hope that you share our pride in reading this book and that each of us will further dedicate personal time and treasure in service to others in 2021.

Sincerely,



**Michael G. Fisch**  
Founder & CEO







# Our Portfolio Companies

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The companies in which we have invested share many of American Securities' values, including Giving Back, and their activities are inspiring. This year, we profile Air Methods, Amentum, BELFOR, Chromaflo, CPM, Emerald, GTL, MW Industries, North American Partners in Anesthesia, and United PF, all of which sought to do their part in easing the burden of COVID-19 within the communities they serve.

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## Air Methods and North American Partners in Anesthesia

Air Methods is the largest domestic air medical transport provider, with 4,500 team members delivering lifesaving care to more than 70,000 people every year. North American Partners in Anesthesia (NAPA) is one of the nation's leading single-specialty anesthesia and perioperative management companies, serving more than 3 million patients annually in more than 500 healthcare facilities nationwide.

At the peak of the first wave of the pandemic in New York City in the spring of 2020, hospital capacity and

ventilator shortages were a major concern.

Leveraging their unique positions within the health ecosystem, and upon learning of these shortages, Air Methods clinical teams from air medical bases across the country quickly collected 34 portable, critical-care ventilators and shipped them to New York, where NAPA delivered them to a large New York health system.







“This was a fantastic team effort, from the support of our clinical leadership to our supply chain teammates getting the ventilators quickly out the door for delivery to those patients most in need,” said Air Methods CEO JaeLynn Williams. “And working with NAPA was just another example of how this country and the medical community have worked together to fulfill our mission of caring for and protecting our patients.”

Air Methods operates more than 275 bases serving 48 states, where its aircraft function as flying intensive care units around the clock.

“During times of crises, we all must think outside the box and pursue every opportunity and relationship to support our communities and our country,” said John F. Di Capua, MD, CEO of NAPA. “We are proud to have partnered with Air Methods as both our companies played an expanded role in the fight against the COVID-19 pandemic. We are grateful to Air

Methods for gathering the ventilators to support New York and encourage others to source solutions to combat this global crisis and follow the example set by Air Methods.”

As the pandemic persists, Air Methods and NAPA continue to lend a hand on the front lines. Air Methods repurposed teams focused on car accidents and other emergencies to assist in Imperial County, California. Imperial County is a rural and impoverished region in Southern California with a population of about 180,000 people and had been a COVID-19 hotspot. At one point, the county was averaging about 900 infections per 100,000 people, compared with 371 in Los Angeles County during the same two-week period. NAPA employees volunteered to create COVID-19 intubation and PPE kits, raised their own funds to provide PPE to partner hospitals, and traveled across the country to provide support at locations most in need.

## GTL

GTL is a leader in transformative corrections technology that improves outcomes for inmates and facilities. GTL offers innovative product solutions including offender management systems, case management systems, and inmate phone and tablet systems.

At the onset of the pandemic, GTL and more than 400 of its correctional facility customers implemented changes to inmate communications practices and rates to ensure that inmates were still able to connect with loved ones during the suspension of in-person visitation. During this time, GTL (i) provided more than 14 million free calls in March and April 2020, (ii) provided more than 5 million minutes of free video visitation in March and April 2020, and (iii) committed to provide over 2 million free messages in March and April 2020. After continuing the free call and video visitation program for several months and providing 22 million calls, 2.5 million messages, and 6 million minutes of video visitation, all free of charge, GTL made the free weekly communication program permanent at state facilities in August.

“Our mission at GTL is to facilitate impactful communications and to do

all we can to help prepare individuals to reenter society

and build toward a successful life after release,” said Deb Alderson, GTL President & CEO. “We understand the importance of communication and also recognize the barriers that lower-income and fixed-income families face when a loved one is incarcerated.”

In September 2020, GTL expanded the permanent program to cover those in county jails. GTL’s free communication program reaches one out of every two incarcerated people in the United States and the company continues to seek ways to use its products to increase communication in ways that benefit all of the company’s stakeholders.

“We will continue to listen to the concerns of incarcerated individuals and their families, improving the ways in which we can expand our support and increase connectivity,” said Matthew Caesar, GTL Executive Vice President, Customer Solutions. “At GTL, providing connectivity regardless of financial situation is an important milestone on our journey to improve outcomes for both incarcerated individuals and correctional facilities.”





## United PF

United PF is the largest developer and operator of fitness clubs under the Planet Fitness brand, with 170 clubs in 14 states. As a contributor to physical wellness, United PF rallied to support frontline workers and local organizations fighting to keep the communities they serve safe and in good health.

Unlike traditional gyms, Planet Fitness has long believed that fitness can be fun, realistic, and judgement free. To give back to the local community in an enjoyable and unexpected way, club managers, district managers, and regional directors took the lead and established unique initiatives - across 119 participating clubs - that benefited medical centers, hospitals, emergency rooms, EMS staff, police and fire departments, Boys & Girls Clubs, Big Brothers, Big Sisters, youth service

programs, day cares, food pantries, USPS, UPS, and more.



The results included 1,100 pizza donations, along with water and other snacks, as well as \$16,000 donated to 111 local frontline workers and organizations in May.

“At Planet Fitness, we want our club to be a space for members to feel not only comfortable, but have fun while embarking on their fitness journeys,” said Trey Owen, President and CEO of United PF. “Bringing pizza, snacks, and unexpected donations to the local community to say thank you was a small action for us to take, and seeing the look on their faces was an honor and bright spot during an unprecedented time.”



## Amentum

Amentum is a leading contractor to the United States federal government and select allied national governments.

In partnership with its joint ventures, the company donated \$200,000 and equipment to local organizations in the communities where Amentum works to meet immediate needs related to COVID-19. In key locations such as Texas, Nevada, the Washington,

D.C. region, Washington, South Carolina, and New Mexico, donations to food banks helped alleviate critical needs and provide tens of thousands of meals for local families. Communities surrounding the company's Savannah River Site also benefitted from PPE donations and an Amentum-led blood drive, which collected 166 pints.



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## BELFOR

BELFOR is a leading global provider of damage recovery and restoration services.

The company participated in numerous sanitation and other COVID-19 efforts, including cleaning hospitals and schools, as well as disinfecting cruise ships, firefighting vehicles and equipment, and Major League Soccer's "MLS is Back Tournament" bubble. "Whether it's providing COVID-19

cleaning services or responding to any number of natural or man-made disasters, our team remains committed to restoring more than property. I am so proud of our dedicated team members who work hard each and every day to help rebuild communities, restore properties, and, most of all, give hope to those in their time of need," said Sheldon Yellen, CEO of BELFOR.



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## Chromaflo

Chromaflo is a leading independent global supplier of colorant systems, chemical, and pigment dispersions.

In late March 2020, the company undertook the protocols to produce hand sanitizer for sites, employees, and their families. Given interest from

customers and local businesses, Chromaflo subsequently pursued and received FDA approval and began producing ChromaSafe™ Hand Sanitizer for distribution.





## CPM

CPM is a leading global supplier of engineered process equipment to the animal feed, oilseed processing, extrusion, and thermal processing markets.

The company manufactured equipment used to support expanded production

of gowns, masks, and other PPE for the healthcare industry across the globe, including the United States, Canada, Germany, and Singapore.



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## Emerald

Emerald is a leading manufacturer and marketer of specialty chemicals for consumer and industrial markets.

Various Emerald offices donated germ-resistant overalls, safety glasses, veterinary gloves, and disinfectant gels to The American Red Cross, as well

as hand sanitizer, face masks, and protective gloves

to local care homes. In addition, the company supported a variety of community action programs, shelters, food drives, and initiatives to provide holiday gifts to children in need.



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## MW Industries

MW Industries is a leading provider of precision components to a diverse range of sectors including life sciences, aerospace, electronics, and energy.

The company increased manufacturing of parts for ventilators, providing support to dozens of medical OEMs and contract manufacturers in efforts to expedite production, as well as

components for air filtration, medical imaging, and x-ray systems.

MW Industries has also been a source of springs for ventilator-related R&D initiatives. In addition, the company 3D-printed masks to address a shortage at a Connecticut hospital.





# Our Colleagues

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At American Securities, our involvement in charitable organizations extends beyond the Firm's annual Giving Back Days and monetary donations. Many of our colleagues choose to volunteer their time at numerous charitable organizations. This year, Chris Lloyd and Ee-Ping Ong (and his son Zachary) share their personal experiences creating non-profit organizations to contribute to the fight against COVID-19.





Chris Lloyd

Chris Lloyd, who joined American Securities in 2018, is a Senior Associate on the Investment Team. After recovering from COVID-19 early on in its spread within the United States and donating plasma, he was inspired to make a difference amidst the global pandemic. In early May 2020, Chris founded Give Liquid Gold, a non-profit organization dedicated to promoting plasma donations from recovered patients. American Securities colleagues provided their expertise on website layout, strategy, and marketing practices, as well as financial support alongside the American Securities Foundation, to support Chris in his efforts.

After recovering from COVID-19, Chris came across research being developed around the use of antibodies found in the plasma of recovered COVID-19 patients (convalescent plasma) to both treat patients suffering from the disease, as well as develop pharmaceuticals to prevent and combat the virus. Upon ensuring he met the criteria



to be eligible to donate, Chris became the first convalescent plasma donor in his home state of Utah. Reflecting on his decision to begin regularly donating plasma, Chris said, “The chance to possibly make a difference for others suffering from the virus and help researchers develop potential treatments, especially in a time of strict social isolation, was tremendously inspiring and spurred me to start donating plasma.”



After a number of plasma donations and discussions with medical professionals and pharmaceutical experts, Chris realized that, despite the overwhelming need among physicians and pharmaceutical manufacturers for the antibodies found in the plasma of recovered patients, there was limited awareness and incentive for recovered patients to donate blood, contribute to efforts to fight the disease, and help researchers develop potential treatments. Doctors spearheading antibody and convalescent plasma research knew that the key to developing effective treatments and vaccines would be sourcing plasma donations. When asked in May 2020 what the largest need in the research process was, Dr. Michael J. Joyner, head physician and principal investigator of the United States COVID Plasma Initiative, said, “Grow the donor pool. Matching donors with those who need help recovering is a message of hope for a disease with no present cure.”

In light of this need conveyed by the medical community, Chris built Give Liquid Gold, a web platform, social media presence, and marketing channel that highlights key research developments on the uses of convalescent plasma and provides resources for recovered patients who are interested in donating plasma themselves, including information on various donation alternatives and the donation process. The organization connects those who have recovered from the virus with their nearest plasma donation centers (both humanitarian blood banks and pharmaceutical supply chains) and offers to make a donation of \$200 to COVID-19 research for every plasma donation recorded on the site. This dual-pronged approach increases the incentive for eligible donors to donate plasma, as the benefit of their donation is amplified as they simultaneously unlock vital funding for COVID-19 research. According to Chris, “My wife, Ali, and I saw the need from researchers and physicians,

and had experienced the pain point as plasma donors, and felt that this platform was the missing piece to bring recovered patients and charitable donors together to fight this devastating disease.”

After discussions with doctors leading the convalescent plasma initiative across the country, Give Liquid Gold decided to make the Mayo Clinic its primary beneficiary. The Mayo Clinic, headquartered in Rochester, Minnesota, is the lead institution in a national collaboration among industry, academic, and government partners that is dedicated to providing coordinated access to investigational convalescent plasma for hospitalized patients with severe or life-threatening COVID-19, or those at high risk of progression to severe or life-threatening disease. This national effort serves two primary purposes: furthering research around immunoglobulin (antibody) therapies and supporting expanded COVID-19 testing. “Working with the Mayo Clinic has been incredibly eye-opening and inspiring. It is a great feeling to know that our platform is supporting dedicated physicians, scientists, researchers, and patients, who are working tirelessly to change the outcome of this pandemic,” said Chris.

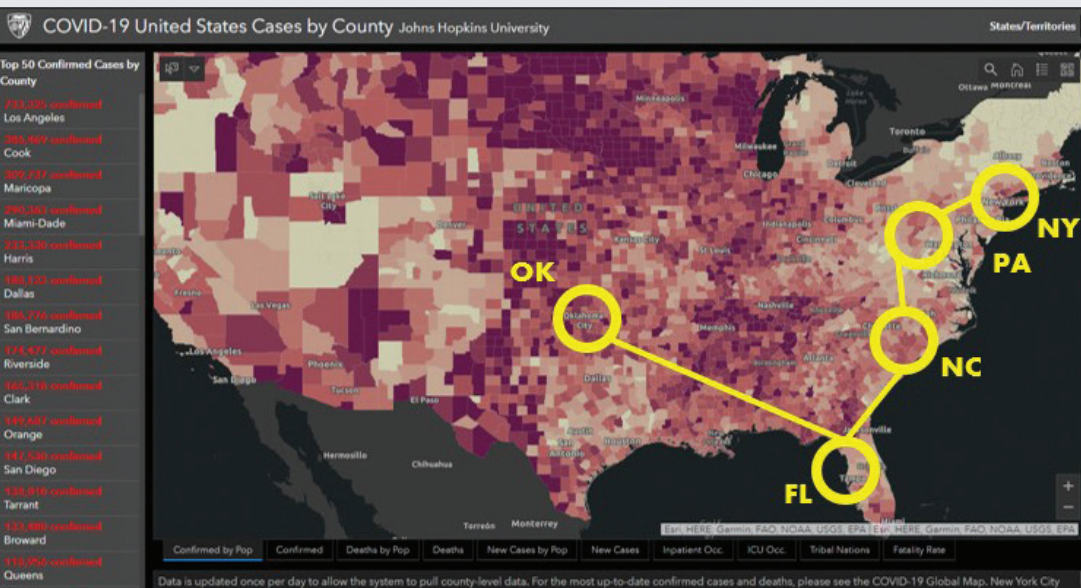
Give Liquid Gold continues to source plasma donations, provide prospective donors with key information about donating convalescent plasma, and support the Mayo Clinic in its efforts to effect change in the outcome of the pandemic. Chris says, “We have had so much help on this journey and it has been incredibly gratifying to see people from all over the country with different backgrounds and experiences come together to lend their expertise to support this effort.”

**Give Liquid Gold**

*[www.giveliquidgold.com](http://www.giveliquidgold.com)*



Ee-Ping & Zachary Ong



Ee-Ping Ong, who joined American Securities in 2007, is a Managing Director in the Resources Group and China Country Head. As COVID-19-related quarantines turned many homes into workplaces and schools, Ee-Ping's son, Zachary, saw an opportunity to collaborate with family to protect others while encouraging them to come together safely via his passion, golf, at a time when community has proven so important.



As colleagues at American Securities and across the globe adapted to working from home, Zachary Ong, a 15-year-old high schooler and competitive golfer,



was quick to demonstrate that the Giving Back value can be a family affair. As COVID-19 surfaced in the United States, Zachary decided he wanted to do his part to contribute to efforts to combat the disease. Together, the Ong family established We'Ar Together, a non-profit dedicated to making an impact by providing sterile PPE kits to the junior golf community and others in need.

“During quarantine, my family and I were reading a lot of heart-touching stories about the pandemic and how it affected so many people. It really affected me reading about how so many people couldn't access what they needed to stay safe. We felt like we had an opportunity to make an impact and help other people,” said Zachary.

Zachary was able to leverage the American Securities Shanghai office's supplier contacts to produce samples and an initial batch of PPE kits to jumpstart his initiative. From there, We'Ar Together independently sourced high quality PPE at a reasonable price and assembled kits that included masks, hand sanitizer, and disposable gloves. His initial goal was to raise \$12,000 and distribute 3,000 kits to the American Junior Golf Association (AJGA) to be used by volunteers and staff members at 2020 tournaments. Upon achieving this initial goal, he entered the second phase of his project, with plans to raise an additional \$30,000 and provide one-time use kits for all AJGA events in 2021.

Zachary's first set of kits was donated to the Harold Varner III Foundation All-Star tournament in June 2020. This was followed by a donation to the AJGA Junior at Penn State in

July 2020, where Zachary also finished in third place at the tournament.

Through social media accounts and the We'Ar Together website, Zachary has sourced materials, raised funds, provided educational resources, and recruited help from his peers. “We hope to expand to provide all the players with protection. We know the AJGA's social distancing measures will help, but these PPE kits can also help protect people,” said Zachary.

When progress toward vaccines became clear late in 2020, We'Ar Together decided to leverage its platform and momentum to partner in a fundraising effort on behalf of the AJGA's Academic Competitive Excellence (ACE) Grant in addition to pursuing its second phase PPE fundraising goal. The ACE Grant provides financial assistance to young men and women who aspire to earn a college golf scholarship through competitive junior golf.

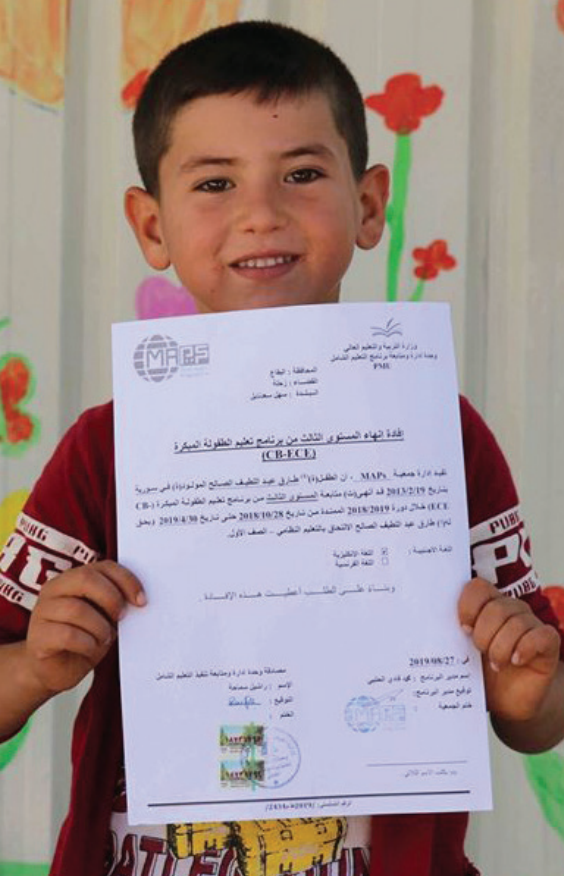
Ee-Ping has seen the educational value this effort and experience have had for Zachary, saying, “Life doesn't revolve around academics and golf. He will have an opportunity to help others, some great goals, and a mission we can all hope for - to work together.”



**We'Ar Together**

[www.weartogether.net](http://www.weartogether.net)







# American Securities Foundation

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In 2020, the American Securities Foundation continued to support on-the-ground relief efforts for those affected by the Syrian civil war. Ongoing since 2011, the Syrian civil war has caused 6.6 million people to flee Syria according to The UN Refugee Agency. Including Syrians that have been displaced from their homes but remain within the country's borders, more than 13.4 million Syrians have been forcibly displaced. We extended our partnership, which began in 2017, with Refugee Protection International (RPI) and Multi Aid Programs (MAPs) to support the education of Syrian refugees in Lebanon.

Amid a global displacement crisis of unprecedented scale, RPI offers donors a cost-effective and strategic model of humanitarian aid. It is light on overhead, strong on programming, and supportive of local relief capacity. RPI identifies protection and self-reliance gaps facing the most vulnerable refugees and internally displaced persons (IDPs) near conflict zones. In collaboration with grassroots non-profits in the Middle East, RPI co-designs and supports projects implemented in education, housing, documentation, psychosocial support, and access to urgent and safe medical care. RPI provides its partners with technical assistance, logistical support with sourcing and packing equipment and supplies, project grants, and monitoring. To date, RPI and its refugee-led partners have assisted nearly 330,000 refugees, IDPs, and locals in Syria, Turkey, and Lebanon, and addressed over 340,000 humanitarian needs for shelter, protection and self-reliance, and education and health.

MAPs, based in Lebanon, is a grassroots

non-profit that aims to develop the capacity of individuals to create good, dignified societies by promoting the best of human knowledge, understanding, and values. Since its inception

in 2013, the organization has met over 565,000 humanitarian needs among Syrian refugees and locals in Lebanon related to non-formal education, health care, continuing education, community service, and relief items. MAPs has distinguished itself among other NGOs through the breadth and quality of its humanitarian services in education, health, relief, training, and capacity building.

In the 2016-2017 school year, American Securities funded a collaboration between RPI and MAPs to educate refugee children at the Al-Amal Teaching Center in the Al-Awda settlement located in the Bekaa Valley. We funded half a scholastic year of teacher salaries and benefits, books and school uniforms,









student activities, transportation, and other administrative and operational expenses, including water, electricity, and maintenance. In the 2017-2018 school year, we expanded the program to cover part of the teacher salaries and books at four additional Al-Amal educational centers, including the Aidoun, Nawerni, Aarsal 3, and Saadnayel teaching centers in Lebanon. In the 2018-2019 school year, we continued our initiatives to fund three Al-Amal educational centers, including Al Faour, Marj, and Sawasia. In the 2019-2020 school year, American Securities funded further development efforts at the Al-Rahma, Aarsal 3, and Sawasia education centers. Initial plans to prepare for future capacity building for children with disabilities had to be postponed to address challenges presented by COVID-19, though RPI and MAPs remain committed to making progress for the benefit of children with disabilities in future years.

The pandemic led the Lebanese government to suspend all social activities and gatherings, including in-person education. Demonstrator roadblocks in Lebanon, as well as the effects of the Beirut port explosion on Lebanon's overall ability to mitigate COVID-19, presented further difficulties. For the general safety of students and employees working in the education program, the RPI and MAPs education project transitioned from in-class education to distance education following the initial COVID-19 lockdown in March 2020. In support of this transition, a distance education plan was developed through workshops that brought together all staff in the education program. Teachers were trained on how to facilitate distance education with the support of open-source materials on the online platform, Edrak. A summer distance learning phase was also introduced to compensate for educational hours lost during the regular school year as a result of COVID-19. In addition, RPI helped MAPs co-design and fundraise for an urgent project implemented in the spring of 2020 to bring hygiene kits, on-site symptom screening and exams, and COVID-19 guidance to all nine MAPs school communities, including the three supported by the American Securities Foundation this school year.

In total, 510 Syrian refugee children benefitted from in-class non-formal primary education at the three American Securities-supported schools through mid-March, after which 450 transitioned to distance learning. Education efforts for approximately 2,700 teachers and student family members on the importance of distance education and how to support students during remote learning were also implemented. Before the transition to remote learning, a pilot group of parents was included in new theater-based psychosocial support sessions for primary school students to encourage parental empathy, stress management, and positive parenting when facing the understandable stressors of displacement, COVID-19, and the economic crisis. This positive parenting work aimed at improving child protection was a new element spurred by discussions between RPI and MAPs.

The following are personal impact stories from some of the children supported by this year's efforts:

- Khalil is an 8-year-old boy who began the year at the Sawasia education center. During in-person learning, he expressed disinterest in education and his initial evaluation score was near the lower range of acceptable. After the closure of schools, and with the support of his family, Khalil's appreciation for education was renewed and he began to excel and participate actively in distance learning lessons. His handwriting and commitment to homework helped him establish himself as a distinguished student.
- Ruqayya is a 10-year-old girl who began the year at the Aarsal 3 education center. An eager student from the outset, Ruqayya has been enrolled at Aarsal 3 since she was 6 years old and has expressed a love for science. While being separated from her teachers and friends presented a challenge, Ruqayya managed to maintain academic and behavioral excellence, all while learning from home in a small tent with her family. Ruqayya also found ways to express herself through drawing and poetry. Distance learning has not tempered her desire to become a teacher when she grows up.







# 2020 Giving Back Days

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As with many other cherished traditions, our New York colleagues were unfortunately unable to participate in our annual Giving Back Days this year due to COVID-19. However, we continue to maintain strong ties to Grace Institute and West Side Campaign Against Hunger (WSCAH).

In October, American Securities supported the virtually-held Breakfast with Grace, which honors individuals and organizations that have made a substantial positive impact on women in order to raise awareness. Grace Institute's efforts to help low-income women from disenfranchised communities in all five boroughs of New York City train for living wage employment that changes their lives, and that of their families, is particularly important in light of the disproportionate impact of COVID-19 on women of color, who comprise approximately 85 percent of Grace Institute participants. Due to COVID-19, and in continuing to drive its mission forward, Grace Institute pivoted to an online approach, establishing virtual programs for aspiring administration professionals and patient service representatives that offer daily live

lectures, question and answer sessions, and team-based breakout groups.

In November, American Securities raised funds to support WSCAH and cover the cost of a complete Thanksgiving meal for almost 700 families in need at a time when food insecurity is a reality for an increasing number of families.

In China, our colleagues made the most of their Work From Home time, procuring and distributing PPE to American Securities portfolio companies early in the pandemic. As the pandemic subsided locally, our colleagues donated necessities to school children in need in the remote village of Xiaohe in the province of Guizhou and later visited the school children.







# Giving Back Day – Shanghai

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As COVID-19 spread globally, our colleagues in the Shanghai office rose to the occasion by assembling an emergency response team to quickly source and deliver PPE.

In February and March, the Shanghai employees focused their attention on the COVID-19 outbreak in China. While working from home, they were able to procure and distribute masks to portfolio companies with offices in China. As the pandemic spread to the United States, they shifted their focus to supplying much needed PPE to United States-based employees at portfolio companies well into June. The China operational team screened vendors, conducted quality checks, placed orders, managed inventory, and developed logistical solutions for each order. In some cases, materials were even repackaged and mailed by individual American Securities employees in China to better monitor the distribution of critical PPE.

This joint effort, which provided 230,000 units of PPE in total to approximately half of the current portfolio, was conducted with help from across the Firm, in particular

members of the Resources Group and Firm Operations colleagues.

The China office expanded upon their efforts as the pandemic subsided locally by donating necessities to school children in need in the remote village of Xiaohe in the province of Guizhou. Students received schoolbags, books, and tuition aid. Guizhou is one of the least developed provinces of China and is inhabited mainly by the minority Miao ethnic group. The area struggles financially, and the village has only one primary school to educate over 300 students, who then must travel to a different county for middle and high school.

The China team traveled to Xiaohe on November 7th to meet with local children and offer support to their school. Upon arrival, the American Securities team was greeted warmly by the local villagers in traditional Miao costumes and went on to meet with the village head and community leaders. A donation ceremony was held during which the team enjoyed interacting with local students.

# Giving Back Days Through the Years

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Our annual Giving Back Days originated in 2008 when our Shanghai office colleagues dedicated time and resources to help victims of the Sichuan earthquake zone. In 2009, we expanded the concept to New York. Each year, these events afford American Securities colleagues the opportunity to give back to our communities and help others in need.



## 2008 **Earthquake-Devastated School, China**

In 2008, a 7.9 magnitude earthquake struck the Sichuan province of China, killing an estimated 68,000 people and destroying numerous buildings. We helped rebuild the Shui Middle School, which was devastated by the earthquake.



## 2009 **Science Workshop with Students, New York**

We held a science workshop for children at the Tony Dapolito Recreation Center in New York City. The workshop taught the children how to use microscopes, which would then be used for an ongoing science project.



## 2009

### Photography Activity with Children, New York

We volunteered with a group of children from New Settlement Apartments. We took the children on a tour of the International Center for Photography, followed by a digital photography workshop.



## 2009

### Brooklyn Animal Rescue Center, New York

At Brooklyn Animal Rescue Center (BARC), a no-kill animal shelter in Brooklyn, volunteers walked dogs, cleaned cages and socialized with the dogs.



## 2009

### Yorkville Common Pantry, New York

Yorkville Common Pantry, now known as New York Common Pantry, is one of New York City's largest single-site community-based food pantries. We helped sort, package, and distribute groceries to clients of the pantry.



## 2009

### Children's Day Celebration, China

Children's Day, an annual celebration held on various days by many countries around the world, recognizes that children are a nation's future. We volunteered with the ZhenHua Labor Dependent School to assist with the celebration.





## 2010

### **Queens Kindergarten, New York**

When a new public school in Queens was scheduled to open in the fall, we volunteered to help ready the school for the students. We painted classrooms, built furniture, and created decorative alphabet murals.



## 2011

### **St. John's Bread & Life, New York**

St. John's Bread & Life, located in Brooklyn, serves nearly 3,000 meals a day. We prepared and served food, helping feed more than 300 families. In addition, we organized and packed supplies to help St. John's prepare for their annual holiday program.



## 2011

### **ZhenHua Labor Dependent School Library, China**

We donated an overhead projector and spent a day classifying books for the ZhenHua Labor Dependent School library.



## 2012

### **St. John's Bread & Life, New York**

We returned to St. John's Bread & Life to organize the food pantry and then prepared and served lunches for the hungry.



# 2012

## **Yorkville Common Pantry, New York**

We returned to Yorkville Common Pantry, now known as New York Common Pantry, to help sort, package, and distribute groceries.



# 2012

## **Sunnyside Community Services, New York**

SCS is a community-based organization serving approximately 14,000 children, youth, adults, and seniors each year. We helped students build Rube Goldberg Machines, which are devices that complete a simple task in indirect, convoluted ways.



# 2012

## **Carter Burden Center for the Aging, New York**

We volunteered with the Carter Burden Center for the Aging, which promotes the well-being of senior citizens through direct social services and volunteer programs. We set out on foot to deliver more than 100 meals to home-bound seniors.



# 2012

## **East River Park, New York**

Our full New York team met at the East River Park to volunteer with the Lower East Side Ecology Center. Equipped with shovels, rakes and gardening tools, we weeded, planted, and mulched throughout the park.



## 2012

### **Shanghai Children's Medical Center, China**

We helped renovate a children's playroom at the SCMC Heart Center by painting the room and assembling new, eco-friendly furniture. Nearly 2,500 children receive lifesaving heart surgery every year at SCMC.



## 2013

### **Concourse Village Elementary School, New York**

We volunteered at Concourse Village Elementary School in the South Bronx, providing upkeep and a colorful touch before it opened for the 2013-2014 school year.



## 2013

### **Shanghai United Walkathon, China**

We organized a team to take part in the Shanghai United Walkathon - A Charity Walk for Kids. We walked up to 50 kilometers and raised money for non-governmental programs for children in China.



## 2014

### **Grace Institute, New York**

Leveraging our business experience, we spent the day with the students of Grace Institute focused on building relationships and careers. Grace Institute serves as a leader in workforce development for women.





## 2014

### **Best Friends China, China**

We raised funds and awareness for volunteer-run Best Friends China to support adoption and responsible pet ownership.



## 2015

### **Grace Institute, New York**

We returned to Grace Institute and spent the day helping graduating students prepare for rejoining the workforce.



## 2015

### **QingCongquan Training Center, China**

We volunteered at the center's annual outing for children with autism and their families.



## 2016

### **Grace Institute, New York**

We returned for the third time to Grace Institute and participated in professional development activities with the incoming class of women.



## 2016

### **QingCongquan Training Center, China**

We deepened our relationship with the QingCongquan Training Center by helping set up and decorate their new Nanxiang branch.



## 2017

### **Grace Institute, New York**

We returned for the fourth time to Grace Institute to support the career growth and development of women seeking to achieve employment and economic self-sufficiency.



## 2017

### **West Side Campaign Against Hunger, New York**

We volunteered by helping to organize and clean up the West Side Campaign Against Hunger's food pantry and social hall.



## 2017

### **QingCongquan Training Center, China**

We continued our partnership for the third consecutive year with the QingCongquan Training Center by participating in their annual outing for children with special needs.





## 2018

### **Grace Institute, New York**

We returned for the fifth time to Grace Institute to support the career growth and development of women seeking to achieve employment and economic self-sufficiency.



## 2018

### **West Side Campaign Against Hunger, New York**

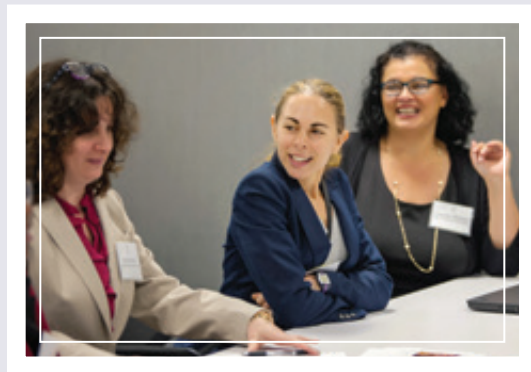
We returned for the second time to WSCAH to help to organize and clean up the West Side Campaign Against Hunger's food pantry and social hall.



## 2018

### **Fudan University Shanghai Cancer Center, China**

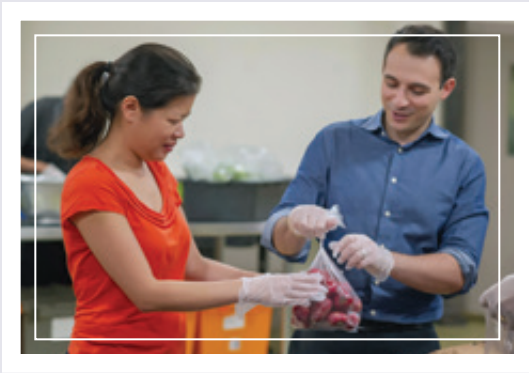
We partnered with the Shanghai Cancer Center to organize events designed to raise awareness about colorectal cancer prevention and treatment.



## 2019

### **Grace Institute, New York**

We returned for the sixth time to Grace Institute to cultivate digital networking and interview skillsets of unemployed and underemployed women seeking to achieve employment and economic self-sufficiency.



## 2019

### **West Side Campaign Against Hunger, New York**

We returned for the third time to WSCAH to help to organize and clean up the West Side Campaign Against Hunger's food pantry and social hall.



## 2019

### **Fudan University Shanghai Cancer Center, China**

We partnered for the second time with the Shanghai Cancer Center to sponsor free cancer screenings and organize events designed to raise awareness about colorectal cancer prevention and treatment.





# Breadth of Activities

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In 2020, American Securities colleagues contributed to more than 309 different non-profit organizations. As indicated in the list below, in addition to their financial contributions, American Securities colleagues volunteer generous amounts of time and expend significant effort to many non-profit organizations.

The American Securities Foundation offers two programs to encourage charitable contributions from colleagues:

The Giving Back Grant allows each administrative colleague to channel \$500 from the Foundation to charities of their choice.

Through the Matching Grant Program, American Securities matches donations made by colleagues up to \$5,000 per person.



1162 Foundation*	Best Friends Animal Society
52nd Street Project	Big League Impact
92nd Street Y	Black Girls Code
ABT Crisis Relief	Bluffton Self Help
Actors Fund of America	Bone Marrow and Cancer Foundation
Advancement for Rural Kids	Boston College
Alagille Syndrome Alliance	Bowery Mission
All in Challenge Foundation	Boys & Girls Club of North Mississippi
Alzheimer's Association	Boys & Girls Clubs of Middle Tennessee
Ambassadors for Sustained Health	Brain Injury Alliance of New Jersey*
American Cancer Society	Breast Cancer Research Foundation
American Civil Liberties Union Foundation	Brick Presbyterian Church
American Foundation for The Courtauld Institute of Art	Bronxville School Foundation*
American Heart Association	BUILD*
American Junior Golf Association*	Bundles of Joy
American National Red Cross	Cardigan Mountain School
Amherst College	Carlsbad Educational Foundation
Anguilla Progressive Association	Carter Center
Answer the Call / New York Police & Fire Widows' & Children's Benefit Fund	Cathedral School
Anti-Racism Fund	Central Park Conservancy
Arise Foundation America	Central Synagogue
Arizona State University Foundation for a New American University	Challenged Athletes Foundation
Art Council	Charity Global
Artists Association of Nantucket	charity: water
Atlantic Golf Club Foundation	Chicago Rowing Foundation*
Auburn University	Chicken & Egg Pictures
Bay Beagle Rescue	Child Mind Institute
Baylor College of Medicine*	Children's Radio Foundation
Beat Nb Cancer Foundation	Choate Rosemary Hall
Berkeley-Carroll Street School	Christ Episcopal Church
	Christopher & Dana Reeve Foundation
	Church of Jesus Christ of Latter-Day Saints*

\* Denotes an organization at which an American Securities colleague volunteers time.

Church of St. Joseph (Bronxville)  
 Church of the Good Shepherd Memphis, TN\*  
 City Harvest  
 Citymeals-on-Wheels  
 Clark University  
 Cleveland Clinic  
 Colon Cancer Foundation  
 Colorado Seminary  
 Colorectal Cancer Alliance\*  
 Columbia Law School  
 Columbia University\*  
 Common Sense Media  
 Community House  
 Compassion International  
 Connecticut Food Bank  
 Cornell University  
 Crespi Carmelite High School  
 Crohn's & Colitis Foundation\*  
 Cycle for Survival  
 Dartmouth College\*  
 Deerfield Academy\*  
 DonorsChoose.org  
 DREAM Program\*  
 East Hampton Food Pantry  
 Egan Maritime Institute  
 Eisner & Crane Lake Camps  
 Equal Justice Initiative  
 Fairfield University  
 Family Legacy  
 Family Promise of Essex County  
 Feeding America  
 First Presbyterian Church  
 Food Bank for New York City  
 Food Bank of Monmouth and Ocean Counties\*  
 Food Bank of Western Massachusetts  
 Fordham University  
 Frank Collins Caddie Scholarship Trust  
 Freedom Institute  
 Friends of Grace Church School Brooklyn Heights  
 Friends of Independent Schools and Better Education  
 Frontline Responders Fund  
 Frontline Wine by The Giving Back Fund  
 Fudan University Shanghai Cancer Center\*  
 Fund for a Better Waterfront  
 Fund for Park Avenue New York  
 Georgetown University  
 Give Liquid Gold\*  
 Good+Foundation  
 Goodwill Industries  
 Grace Institute\*  
 Greater Washington Educational Telecommunications Association  
 Greenwich Country Day School  
 Greenwich Village Society for Historic Preservation  
 Hackensack Blue and Gold Foundation  
 Happy Hearts International  
 Harlem Village Academies  
 Harrison Food Pantry\*  
 Harrison Public Library Foundation  
 Harvard Business School\*  
 Harvard University  
 Haverford College  
 Hebrew Union College\*  
 Herb It Forward Foundation  
 Hillel International\*

Hinsdale Community Services	Michigan State University
Hispanic Federation	Misericordia Home
Historic Hudson Valley	Mount Sinai Hospital*
Hope for New York	Move On Up Mississippi
Horace Mann School	Mt. Sinai Medical Center*
HorseAbility*	Muddy Paws Rescue
Housing Works	Multi Aid Programs
Human Rights Watch	Municipal Art Society of New York
Icahn School of Medicine at Mount Sinai	Muscant Family Brain Trust*
I'm Able Foundation	Myelodysplastic Syndromes Foundation
iMentor*	Myotonic Dystrophy Foundation
Innocence Project	NAACP
Integrated Refugee & Immigrant Services	NAACP Legal Defense and Educational Fund
International Sports and Music Project*	Nantucket Conservation Foundation
Iona Preparatory School	Nantucket Cottage Hospital
JDRF International	Nantucket Historical Association
Johns Hopkins University	National Alopecia Areata Foundation
Kelberman Center	National Independent Venue Association
K'iin Beh School Sponsorship Program	National Multiple Sclerosis Society
Landfall Foundation	National Ski Patrol System
Lehigh University	Natural Resources Defense Council
Leukemia & Lymphoma Society	Navy SEAL Foundation
Lower Canada College*	New Heights Youth
Lower East Side Printshop	New York and Presbyterian Hospital
M191 PTA	New York Botanical Garden
Manhattan Country School	New York City Mission Society
Maria Mitchell Association	New York Common Pantry
Marshall Project	New York Kicks Coronavirus
Matthew Larson Foundation for Pediatric Brain Tumors	New York Opportunity Network
Memorial Sloan-Kettering Cancer Center	North Carolina Aquarium on Roanoke Island
Metavivor Research and Support	North Carolina Coastal Federation
	Northwell Health Foundation*

\* Denotes an organization at which an American Securities colleague volunteers time.



NY Historical Society	Rett Syndrome Research Trust
NYC Ballet	Rippowam Cisqua School*
NYU Langone Health	Robert Toigo Foundation
Occidental College	Robin Hood Foundation
Ohio State University	Ronald McDonald House of New York
Ohio State University's Arthur G. James Cancer Hospital and Richard J. Solove Research Institute	Roundabout Theatre Company
Ohio State University's Honors Cohort Program*	RS Events for Life
Orphan Disease Pathway Project	Rye Arts Center
Osborne Association	Rye Nature Center*
Packer Collegiate Institute	Saint Joseph Hill Academy
Palmetto Bluff Conservancy	Saving the Elephants Run
Pan Massachusetts Challenge Trust	Say Yes to Education
Park Avenue Synagogue	Scan-Harbor
Parrish Art Museum	Scholars at Risk
Peoples Portfolio	Seagle Music Colony
Phillips Academy	SEO*
Planned Parenthood Federation of America	Sewickley Academy
Planned Parenthood of Greater New York	Shower Power
Police Unity Tour	Special Olympics of NJ
Posse Foundation	St. Baldrick's Foundation
Power on Heels Fund	St. Bartholomew's Episcopal Church NYC*
Prep for Prep	St. Ignatius College Prep
Princeton Theological Seminary*	St. Isaac Jogues Church
Princeton University	St. John of the Cross Church
Project Say Something	St. Jude Children's Research Hospital
ReadAhead*	St. Luke Church
Rebel Venture Capital Fund*	St. Margaret of Cortona School
Reformed Church Nursery School	St. Mary's Church in Greenwich
Refugee and Immigrant Center for Education and Legal Services	St. Timothy's Episcopal Church Signal Mountain, TN
Refugee Protection International	Stanford University
Regis High School	Stanford University Graduate School of Business*
	State University of New York, Binghamton University

StreetWise Partners  
 Student Mobilization  
 Student Sponsor Partners\*  
 Tel Aviv University  
 Temple Shaaray Tefila\*  
 Third Option Foundation  
 Thurgood Marshall College Fund  
 Tri Delta Sorority  
 Tyler Robinson Foundation  
 UJA-Federation of New York  
 UNICEF  
 United Jewish Appeal  
 United Jewish Appeal Metrowest  
 United States Holocaust Memorial Museum  
 United Way of Alabama  
 University Community Social Services  
 University of Chicago Medical Center  
 University of Chicago\*  
 University of Cincinnati Foundation  
 University of Denver  
 University of Michigan\*  
 University of Mississippi Foundation\*  
 University of Mississippi School of Business\*  
 University of Pennsylvania\*  
 University of Pennsylvania Center for the  
 Preservation of Historic Civil Rights Sites\*  
 University of Pennsylvania Weitzman School  
 of Design\*  
 University of Pennsylvania, Wharton School\*  
 University of Scranton  
 University of Virginia  
 US Olympic and Paralympic Foundation\*  
 Usher 1F Collaborative  
 Vanderbilt University  
 Vanderbilt University Medical Center\*  
 Verona Foundation for Educational Excellence  
 Verona Together  
 Verona United Soccer Club\*  
 Vibrant Emotional Health  
 Village Preservation Society  
 Warrior Canine Connection  
 Washington University  
 Waterwheel Foundation  
 We'Ar Together\*  
 Wellness House  
 West Side Campaign Against Hunger  
 Westend Intergenerational Residences  
 Wildlife Conservation Society  
 Wings Cancer Foundation  
 WNYC  
 Women's Justice Initiative  
 World Bicycle Relief  
 Yale University\*  
 Young People's Chorus of New York City  
 Youth INC\*  
 Youth Renewal Fund  
 YWCA  
 YWCA Greenwich Connecticut  
 YWCA White Plains & Central Westchester

*\* Denotes an organization at which an American Securities colleague volunteers time.*



## AMERICAN SECURITIES

### **NEW YORK**

590 Madison Avenue  
38th Floor  
New York, New York 10022

### **SHANGHAI**

288 South Shaanxi Road  
Two ICC, Suite 2808-2810  
Shanghai 200031, China

[www.american-securities.com](http://www.american-securities.com)